

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/788,615

Sanjeet K. Dutta

02/26/2004

Anoop Mukker

42P18615

CONFIRMATION NO. 6486

FORMALITIES LETTER

OC000000012733817

Blakely, Sokoloff, Taylor & Zafman LLP 7th Floor 12400 Wilshire Boulevard Los Angeles, CA 90025

Date Mailed: 05/20/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

07/26/2004 FFANAIA2 00000076 10788615

P.O. Box 1450

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Alexandria VA 22313-1450

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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application No. 10/788,615

Filing Date February 26, 2004

First Named Inventor Anoop Mukker

Art Unit 2186

Examiner Name To Be Assigned

Attorney Docket Number 42P18615

| ENCLOSURES (check all that apply) | | | | | | | | | |
|--|--|--|---|--|--|--|--|--|--|
| Fee Transmittal | l Form | Drawing(s) | After Allowance Communication to Group | | | | | | |
| Fee Attac | ched | Licensing-related Papers | Appeal Communication to Board of Appeals and Interferences | | | | | | |
| Amendment / R | esponse | Petition | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | | | | | |
| After Fina Affidavits | al :/declaration(s) | Petition to Convert a Provisional Application | Proprietary Information | | | | | | |
| Extension of Tir | ne Request | Power of Attorney, Revocation Change of Correspondence Address | Status Letter | | | | | | |
| Express Abandonment Request | | Terminal Disclaimer | Other Enclosure(s) (please identify below): | | | | | | |
| Information Disc | closure Statement | Request for Refund | - Copy of Notice to File Missing Parts of | | | | | | |
| PTO/SB/08 Certified Copy of Priority Document(s) | | CD, Number of CD(s) | Nonprovisional Application (2 pages) | | | | | | |
| | | | - Return postcard | | | | | | |
| Response to Mi Incomplete App | | Remarks | | | | | | | |
| Basic Filing Fee Declaration/POA | | | | | | | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | | | | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | | | | | |
| Firm or | Michael J. Mall | Michael J. Mallie, Reg. No. 36,591 | | | | | | | |
| Individual name | BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP | | | | | | | | |
| Signature | n | | | | | | | | |
| Date | 7/20/ | ~Y | | | | | | | |
| CERTIFICATE OF MAILING/TRANSMISSION | | | | | | | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. | | | | | | | | | |
| Typed or printed name Debra L. Riggio 9/20/2004 | | | | | | | | | |
| Signature | Killa | Slan D | July 20, 2004 | | | | | | |

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Based on PTO/SB/21 (04-04) as modified by Blakely, Solokoff, Taylor & Zafman (vir) 06/04/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

FEE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)

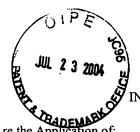
130.00

| Complete if Known | | | | | | |
|----------------------|-------------------|---|--|--|--|--|
| Application Number | 10/788,615 | | | | | |
| Filing Date | February 26, 2004 | | | | | |
| First Named Inventor | Anoop Mukker | | | | | |
| Examiner Name | To Be Assigned | - | | | | |
| Art Unit | 2186 | | | | | |
| Attorney Docket No. | 42P18615 | | | | | |

Date

| METHOD OF PAYMENT (check all that apply) | | | | FEE CALCULATION (continued) | | | | | | | | | | | | |
|--|--|----------------------|--|--|--------------------|--------------|--|---|--------------|---|---------------------|-------|-------------|--|--|--|
| Maria | Money Other Mass | | | | 3. ADDITIONAL FEES | | | | | | | | | | | |
| | | | | U Other | None | Larg | Large Entity Small | | li Entity | , | | | | | | |
| | | | Fee | Fee | Fee | Fee | - | | | ! | | | | | | |
| Deposit Account | | | 02-2666 | | | Code | (3) | Code | (5) | Fee | Description | | Fee Paid | | | |
| Number | Number | | | 1051 1052 | 130 50 | 2051 2052 | 65 25 | Surcharge - late filing Surcharge - late provi | | | 130.00 | | | | | |
| Account Blakely, Sokoloff, Taylor & Zafman LLP | | | | | | | cover sheet. | | | | | | | | | |
| Name | <u>. </u> | | | | | 2053 1812 | 130 2,520 | 2053 1812 | 130 2,520 | Non-English specifica For filing a request for | | ation | | | | |
| l | sioner is a ee(s) indica | | to: (check all that ap | o <i>piy)</i> dit any overpaym | onte | 1804 | 920* | 1804 | • | Requesting publication | • | | | | | |
| | | | underpayment of fee | | | | | | | Examiner action | | | | | | |
| CFR §§ 1 | 1.16, 1.17, | 1.18 and 1. | | | | 1805 | 1,840* | 1805 | 1,840 | Requesting publication Examiner action | n of SIR after | | | | | |
| | | ed deposit | | , 100 | | 1251 | 110 | 2251 | 55 | Extension for reply wit | hin first month | | | | | |
| | | FEE C | ALCULATION | 1 | | 1252 | 420 | 2252 | 210 | Extension for reply wit | | | | | | |
| 1. BA | SIC FIL | ING F | EE | | | 1253 | 950 | 2253 | 475 | Extension for reply wit | | | | | | |
| Large Entity | | mall Entity | | | | 1254 | 1,480 | 2254 | 740 | Extension for reply wit | hin fourth month | | | | | |
| Fee Fe Code (\$ | | | Fee Description | | Fee Paid | 1255 | 2,010 | 2255 | 1,005 | Extension for reply wit | hin fifth month | | | | | |
| | 70 200 | | Utility filing fee | | | 1404 | 330 | 2401 | 165 | Notice of Appeal | | | | | | |
| | 340 200 | | - , , | | | 1402 | 330 | 2402 | 165 | Filing a brief in suppo | rt of an appeal | | | | | |
| | 30 200 | | | | | 1403 | 290 | 2403 | 145 | Request for oral hear | ng | g | | | | |
| l | 70 200 | | Reissue filing fee | • | | 1451 | 1,510 | 2451 | 1,510 | Petition to institute a | oublic use proceed | ing | | | | |
| 1005 1 | 60 200 | 5 80 | Provisional filing | fee | | 1452 | 110 | 2452 | 55 | Petition to revive - un | avoidable | | | | | |
| SUBTOTAL (1) (\$) | | | 1453 | 1,330 | 2453 | 665 | Petition to revive - un | ntentional | | | | | | | | |
| | | | 1501 | 1,330 | 2501 | 665 | Utility issue fee (or rei | tility issue fee (or reissue) | | | | | | | | |
| 2. EXTRA CLAIM FEES Extra Fee from | | | 1502 | 480 | 2502 | 240 | Design issue fee | | | | | | | | | |
| Claims below Fee Paid | | | Fee Paid | 1503 | 640 | 2503 | 320 | Plant issue fee | | | | | | | | |
| Total Claims . 20° = X | | | | 1460 | 130 | 2460 | 130 | Petitions to the Comn | | | | | | | | |
| Claims | | 3 | *x _ | = | | 1807 | 50 | 1807 | 50 | Processing fee under | 37 CFR 1.17(q) | | | | | |
| Multiple Depen | ndent | | | = | | 1806 | 180 | 1806 | 180 | Submission of Inform | ation Disclosure St | mt | | | | |
| Large Entity Fee Fee | | nail Entity e Fee | | | | 8021 | 40 | 8021 | 40 | Recording each pater property (times numb | | | | | | |
| Code (\$) |) Cod | te (\$) | | 4 20 | | 1809 | 770 | 1809 | 385 | Filing a submission aft (37 CFR § 1.129(a)) | ter final rejection | | | | | |
| 1202 18 1201 86 | | | Claims in excess of Independent claim | | | 1810 | 770 | 2810 | 385 | For each additional in | | | | | | |
| 1203 290 | | | • | | | | | | | examined (37 CFR § | | | | | | |
| 1204 86 | - 1 | | | Reissue independent claims over original | | 1801 | 770 | 2801 | 385 | Request for Continued | | E) | | | | |
| | patent | | 1802 | 900 | 1802 | 900 | Request for expedited of a design application | | | | | | | | | |
| 1205 18 2205 9 **Reissue claims in excess of 20 and over original patent | | | Other fe | ee (specify) | | | | | | | | | | | | |
| SUBTOTAL (2) (\$) | | | * Doct :=== | * Reduced by Basic Fling Fee Paid | | | | | | | | | | | | |
| **or number previously paid, if greater, For Reissues, see below | | | | uy basic riin | yreera | J | · | SUBTOTAL (3) | (\$) | 130.00 | | | | | | |
| SUBMITTED BY Complete (if applicable) | | | | | | | plicable) | | | | | | | | | |
| | | | aal I Malia | | | | egistratio | | 7 | 36,591 | Telephone | | 947-8200 | | | |
| Name (Print/Type) Michael J. Mallie | | | I (A | (Attorney/Agent) | | | 10,371 | (400) | | J . 7 0200 | | | | | | |

Signature



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Anoop Mukker, et al.

Application No.: 10/788,615

Filed: February 26, 2004

For: Method And Apparatus For Splitting A Cache Operation

Into Multiple Phases And Multiple Clock Domains

Art Group: 2186

Examiner: To Be Assigned

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts mailed May 20, 2004, please find enclosed:

- a duly executed Declaration and Power of Attorney with payment in the amount of \$130.00 for the surcharge of 37 CFR § 1.16(e);

and

- copy of the Notice to File Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666. An extra copy of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 7/15/04

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025

Telephone: (408) 947-8200

Michael J. Mallie, Reg. No. 36,591

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Missing Parts,

Commissioner for Patents, P.O. Box 1450, Alexandria, V.A.

3-1450.

Debra L. Riggio Date